

Substantial Amendment -Action Plan – FY2008

Huntsville, Alabama

GENERAL

The American Recovery and Reinvestment Act of 2009 (Recovery Act) appropriated \$1 billion in Community Development Block Grant (CDBG) funds to states and local governments to carry out, on an expedited basis, eligible activities under the CDBG program. As a CDBG entitlement city, Huntsville has been allocated \$346,373 under the Recovery Act. Because the CDBG-R grant is a supplemental appropriation, we are required to submit a substantial amendment to our FY2008 action plan to receive the recovery allocation (CDBG-R).

The required elements in the CDBG-R substantial amendment to the annual action plan for entitlement communities are:

1. A description of activities the jurisdiction will undertake with these funds to address priority needs and objectives.

The City plans to use its CDBG-R allocation of funds for the Pulaski Pike Market project. The Terry Heights/Hillandale Neighborhood Association and the Food Bank of North Alabama have taken an active role in the formation of a co-op food store to not only fulfill the communities' need for an affordable/good quality food store, but also to create jobs within the community and provide opportunities for local farmers. The co-op food store is to be oriented toward a merchandise mix that includes conventional, locally grown, and natural food products. It will be a full-line supermarket including dry groceries, meat, fresh produce, dairy and frozen food. The CDBG-R funds will be used to purchase equipment for the store.

The City will use 10 percent of the funds for the administrative and planning activities associated with the grant. CDBG-R project contracts will include language requiring contractor compliance with Recovery Act reporting requirements.

2. A description of how the distribution and uses of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of the Recovery Act.

The Pulaski Pike Market project was selected for the CDBG-R funds because it is a project that has completed its community needs assessment and marketing analysis and should be ready to award a contract based on the competitive bid process within 120 calendar days from the date the funds are made available to the City as required by the CDBG-R program.

3. For each activity, a written description of how the use of CDBG-R funds for the activity will maximize job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act.

The Pulaski Pike Market project will maximize job creation and create economic benefits in relation to the CDBG-R funds obligated, and will address the Recovery Act by:

(A) Preserving and creating jobs and promoting economic recovery.

05/28/2009

President or President Pro tem,
City Council Huntsville, Alabama

The cooperative market will generate over one million dollars in wages, benefits and charitable contributions by its second year of operation. By its fifth year, it will generate over three million dollars. As owners of a cooperative business, workers will be able to build long-term wealth through the accumulation of equity in a viable business all the while providing neighborhood residents with access to healthy food at competitive prices.

4. For each activity, the number of full-and part-time jobs estimated to be created and retained by the activity (including permanent, construction and temporary jobs).

When the Pulaski Pike Market project opens for business in May 2010, it is expected to employ 15 worker/owners. At maturity, Pulaski Pike Market is expected to create at least 20 jobs. The primary purpose of a worker-cooperative is to create jobs.

5. A description of the activities that will be carried out with CDBG-R funds that promote energy conservation, smart growth, green building technologies, or reduced pollution emissions. .

The Pulaski Pike Market project will promote energy conservation, smart growth, green building technologies, and reduced pollution emissions in several ways. The building will be constructed on an infill lot on land that was previously part of an urban renewal project. In addition, the store will be constructed using green building technologies. Food sold at the market will emphasize locally produced sustainable certified naturally grown fruits and vegetables that are grown by local farmers. This will in turn reduce pollution emissions by decreasing transportation distances.

6. Information on how to contact grantee program administrators, so that citizens and other parties know who to contact for additional information.

For additional information, contact:

City of Huntsville, Alabama
Community Development Division
P.O. Box 308 120 E. Holmes Avenue, Huntsville, AL 35804

256-427-5400 – Telephone

256-427-5431 – Fax

The public may address requests for information and/or to submit comments by email to Community Development Director Michelle Gilliam Jordan at michelle.jordan@hsvcity.com

CITIZEN PARTICIPATION

- 1. Although 24 CFR 91.105(k) and 24 CFR 91.11(i) are being waived for the purposes of CDBG-R, the opportunity for citizen comment is required. Describe the efforts of the jurisdiction to meet the program requirements for CDBG-R funding.**

On May 23, 2009, the City ran an ad in a newspaper of general circulation inviting public comment concerning this document through May 30, 2009. A copy of the ad is attached. Notice was also posted on the City Web site with a link to an electronic copy. Hard copies were available to the public for review at the reference desk of the Huntsville Public Library located at 915 Monroe Street, and at the office of the Community Development Division located at 120 E. Holmes Avenue.

On May 28, 2009, the Mayor and City Council approved this document subject to including any citizen comments received and adding written explanations to any comments not accepted and the reasons why those comments were not accepted and authorized the Community Development Department to submit the substantial amendment to HUD.

CERTIFICATIONS

- (1) **Affirmatively furthering fair housing.** The jurisdiction will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.
- (2) **Anti-displacement and relocation plan.** The jurisdiction will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under CDBG-R.
- (3) **Drug Free Workplace.** The jurisdiction will or will continue to provide a drug-free workplace by:
 1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
 2. Establishing an ongoing drug-free awareness program to inform employees about –
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
 3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
 4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
 5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
 6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
 7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

(4) **Anti-lobbying.** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

(5) **Authority of Jurisdiction.** The jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and other program requirements.

(6) **Consistency with Plan.** The housing activities to be undertaken with CDBG-R funds are consistent with its consolidated plan.

(7) **Section 3.** The jurisdiction will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

(8) **Community development plan.** The jurisdiction certifies that the consolidated housing and community development plan identifies housing and community development needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the statute authorizing the CDBG program.

(9) **Following a plan.** The jurisdiction is following a current consolidated plan that has been approved by HUD.

(10) **Use of funds.** The jurisdiction has developed activities so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention of slums or blight. Additional activities may be included that are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs

It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG-R funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. **Special Assessments.** The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds by assessing any amount against properties owned and

occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG-R funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with CDBG-R funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds, unless CDBG-R funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds if the jurisdiction certifies that it lacks CDBG-R or CDBG funds to cover the assessment.

(11) **Excessive Force.** The jurisdiction certifies that it has adopted and is enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and (2) a policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(12) **Compliance with anti-discrimination laws.** The CDBG-R grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(13) **Compliance with lead-based paint procedures.** The activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K, and R of this title.

(14) **Compliance with laws.** The jurisdiction will comply with applicable laws.

(15) **Compliance with ARRA.** The jurisdiction will comply with Title XII of Division A of the American Recovery and Reinvestment Act of 2009.

(16) **Project selection.** The jurisdiction will select projects to be funded, by giving priority to projects that can award contracts based on bids within 120 days from the date the funds are made available to the recipient, and that will ensure maximum job creation and economic benefit.

(17) **Timeliness of infrastructure investments.** When the jurisdiction uses CDBG-R funds for infrastructure investments, the grantee will give preference to quick-start and finish activities, including a goal to use at least 50 percent of the funds for activities within 120 days of enactment of the Recovery Act.

(18) **Buy American provision.** The jurisdiction will ensure that all iron, steel and manufactured goods used in construction, alteration, repair, or maintenance of a public building or public work project assisted with CDBG-R funds under the Recovery Act must be produced in the United States unless the Secretary finds that: (1) the requirement is inconsistent with public interest; (2) those goods are not reasonably available or produced in sufficient quantity in the U.S.; (3) or the use of the goods will increase the project cost by more than 25 percent.

(19) **Appropriate use of funds for infrastructure investments.** The Governor, mayor, or other chief executive, as appropriate certifies, that any infrastructure investments have received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Alternatively, a grantee's chief elected official certifies that infrastructure investments will receive the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars.

(20) **70% of CDBG-R for LMI.** The aggregate use of CDBG-R funds shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the grant is expended for activities that benefit such persons over the life of the CDBG-R grant.

Signature/Authorized Official

4/28/09
Date

Tommy Battle, Mayor
Title

OPTIONAL CERTIFICATION

CDBG-R

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

Where the urgent need is the current economic conditions, the grantee certifies that the activity is alleviating current economic conditions which pose a threat to the economic welfare of the community in which the activity is being carried out, the recipient is unable to finance the activity on its own, and other sources of funding are not available.

Signature/Authorized Official

4/28/09
Date

Tommy Battle, Mayor
Title

Application for Federal Assistance SF-424

Version 02

*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	*2. Type of Application * If Revision, select appropriate letter(s) <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision *Other (Specify) _____
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3. Date Received:	4. Applicant Identifier:
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5a. Federal Entity Identifier:	*5b. Federal Award Identifier:
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State Use Only:

6. Date Received by State:	7. State Application Identifier:
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8. APPLICANT INFORMATION:

*a. Legal Name: City of Huntsville, Alabama	
*b. Employer/Taxpayer Identification Number (EIN/TIN): 63-6001296	*c. Organizational DUNS: 07-2093727

d. Address:

*Street 1:	<u>120 E. Holmes Avenue</u>
Street 2:	<u>P.O.Box 308</u>
*City:	<u>Huntsville,</u>
County:	<u>Madison</u>
*State:	<u>Alabama</u>
Province:	_____
*Country:	<u>USA</u>
*Zip / Postal Code	<u>35804</u>

e. Organizational Unit:

Department Name: Community Development	Division Name: Urban Planning
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f. Name and contact information of person to be contacted on matters involving this application:

Prefix: <u>Ms.</u>	*First Name: <u>Michelle</u>
Middle Name: <u>Gilliam</u>	
*Last Name: <u>Jordan</u>	
Suffix: _____	

Title: Director
Organizational Affiliation:
*Telephone Number: 256-427-5400 Fax Number: 256-427-5431
*Email: michelle.jordan@hsvcity.com

OMB Number: 4040-0004

Expiration Date: 01/31/2009

Application for Federal Assistance SF-424	Version 02
*9. Type of Applicant 1: Select Applicant Type: C. City or Township Government Type of Applicant 2: Select Applicant Type: Type of Applicant 3: Select Applicant Type: *Other (Specify)	
*10 Name of Federal Agency: HUD	
11. Catalog of Federal Domestic Assistance Number: 14.218 _____ CFDA Title: CDBGR _____	
*12 Funding Opportunity Number: FR-5304-N-01 _____ *Title: American Recovery and Reinvestment Act of 2009 _____	
13. Competition Identification Number: _____	

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Huntsville, AL

***15. Descriptive Title of Applicant's Project:**

Pulaski Pike Market -

OMB Number: 4040-0004

Expiration Date: 01/31/2009

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

*a. Applicant: 5th

*b. Program/Project: 5th

17. Proposed Project:

*a. Start Date: September 1, 2009

*b. End Date: August 31, 2011

18. Estimated Funding (\$):

*a. Federal	_____	326,373
*b. Applicant	_____	
*c. State	_____	
*d. Local	_____	
*e. Other	_____	
*f. Program Income		326,373
*g. TOTAL	_____	

Application for Federal Assistance SF-424

Version 02

***Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.

N/A